



Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

#1.2 04CO  
06-21-01

Attention: \_\_\_\_\_

Re: Our File: INTRASOURCE 9.0-002  
Applicant: DONNER et al.  
Serial No.: 09/874,934  
Filed: 06/06/01  
For: ENCRYPTION PROGRAM + METHOD  
FOR CONDUCTING MERGE/PURGE

Group Art Unit:

Examiner:

Batch No.:

Dear Sir:

Enclosed for filing in the United States Patent and Trademark Office is the following:

- |   |   |
|---|---|
| <input checked="" type="checkbox"/> Law Firm Transmittal Letter;      | <input type="checkbox"/> Letter/Official Draftsmen;   |
| <input type="checkbox"/> Response/Amendment;                          | <input type="checkbox"/> Petition;  |
| <input type="checkbox"/> Affidavit/Declaration;                       | <input type="checkbox"/> Request for Ext. of Time;  |
| <input type="checkbox"/> Notice of Appeal;                            | <input type="checkbox"/> Small Entity Statement(s);   |
| <input type="checkbox"/> Assignment and cover sheet;                  | <input checked="" type="checkbox"/> Postcard acknowledging receipt of above-identified material |
| <input type="checkbox"/> Certificate of Correction;                   | <input checked="" type="checkbox"/> Reference, Tabs 2 - ;                                       |
| <input type="checkbox"/> Check No. _____ - \$ _____;                  | <input type="checkbox"/> _____  |
| <input type="checkbox"/> Claim of Priority - Cert'd Copies;           | <input type="checkbox"/> _____  |
| <input type="checkbox"/> Communication;                               | <input type="checkbox"/> _____  |
| <input type="checkbox"/> Drawings _____ sheets -                      | <input type="checkbox"/> _____  |
| Formal/Informal;  | <input type="checkbox"/> _____  |
| <input type="checkbox"/> Issue Fee/Maintenance Fee                    | <input type="checkbox"/> _____  |
| <input checked="" type="checkbox"/> Information Disclosure Statement; | <input type="checkbox"/> _____  |

Conditional Petition and Fee for Extension of Time: If any extension of time for the accompanying response is required, applicant requests that this be considered a petition therefor.

In connection with the above-identified matter, please charge any additional charges or any other charges relating to this matter to the deposit account of the writer, No. 23-0812. A duplicate copy of this letter is enclosed.

Respectfully submitted,

Edward R. Weingram  
Registration No. 24,493  
WEINGRAM & ASSOCIATES, PC  
P.O. BOX 927  
197 W. Spring Valley Avenue  
Maywood, NJ 07607  
TEL: (201) 843-6300  
FAX: (201) 843-6495

Enclosures

DATED: 7/2/01

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE WITH SUFFICIENT POSTAGE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231, ON

DATE: 7/2/01

  
(Signature)

PTFORMS\PTOCVRLT.ERW



Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Attention: \_\_\_\_\_

Re: Our File: INTRASOURCE 3.0-003  
Applicant: DONNER et al.  
Serial No.: 09/874,934  
Filed: 06/06/01  
For: ENCRYPTION PROGRAM + METHOD  
FOR CONDUCTING MERGE/PURGE

Group Art Unit:

Examiner:

Batch No.:

Dear Sir:

Enclosed for filing in the United States Patent and Trademark Office is the following:

- |   |  |
|---|--|
| <input checked="" type="checkbox"/> Law Firm Transmittal Letter;      | <input type="checkbox"/> Letter/Official Draftsmen;        |
| <input type="checkbox"/> Response/Amendment;                          | <input type="checkbox"/> Petition;                         |
| <input type="checkbox"/> Affidavit/Declaration;                       | <input type="checkbox"/> Request for Ext. of Time;         |
| <input type="checkbox"/> Notice of Appeal;                            | <input type="checkbox"/> Small Entity Statement(s);        |
| <input type="checkbox"/> Assignment and cover sheet;                  | <input checked="" type="checkbox"/> Postcard acknowledging |
| <input type="checkbox"/> Certificate of Correction;                   | receipt of above-identified                                |
| <input type="checkbox"/> Check No. _____ - \$ _____;                  | material.  |
| <input type="checkbox"/> Claim of Priority - Cert'd Copies;           | <input checked="" type="checkbox"/> Reference, Tabs 2 - ;  |
| <input type="checkbox"/> Communication;                               | <input type="checkbox"/> _____                             |
| <input type="checkbox"/> Drawings _____ sheets -                      | <input type="checkbox"/> _____                             |
| Formal/Informal;  | <input type="checkbox"/> _____                             |
| <input type="checkbox"/> Issue Fee/Maintenance Fee                    | <input type="checkbox"/> _____                             |
| <input checked="" type="checkbox"/> Information Disclosure Statement; | <input type="checkbox"/> _____                             |

Conditional Petition and Fee for Extension of Time: If any extension of time for the accompanying response is required, applicant requests that this be considered a petition therefor.

In connection with the above-identified matter, please charge any additional charges or any other charges relating to this matter to the deposit account of the writer, No. 23-0812. A duplicate copy of this letter is enclosed.

Respectfully submitted,

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Enclosures

DATED: 7/2/01

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DATE: 7/2/01

  
(Signature)

PTFORMS\PTOCVRLT.ERW



**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
Intrasource 3.0-002

In Re Application Of: Matthew G. Donner et al

| Serial No. | Filing Date  | Examiner | Group Art Unit |
|------------|--------------|----------|----------------|
| 09/874934  | June 6, 2001 |          |                |

**Title:**

ENCRYPTION PROGRAM AND METHOD FOR CONDUCTING MERGE/PURGE OF MAILING  
LISTS

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

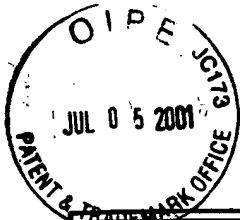
whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).



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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
INTRASOURCE 3.0-002

In Re Application Of: MATTHEW G. DONNER ET AL

Serial No.  
09/874934

Filing Date  
JUNE 6, 2001

Examiner

Group Art Unit

Title:  
ENCRYPTION PROGRAM AND METHOD FOR CONDUCTING MERGE/PURGE  
OF MAILING LISTS

**- Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

on \_\_\_\_\_  
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

\*This certificate may only be used if paying by deposit account.

EDWARD R. WEINGRAM

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on 7/2/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

EDWARD R. WEINGRAM

Typed or Printed Name of Person Mailing Correspondence

Dated: 7/2/01



(Rev. 7-10-97 Pub. 605)

FORM 6-3

6-41

Practitioner's Docket No. INTRASOURCE 3.0-002

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent application

of \_\_\_\_\_

Inventor(s)

for \_\_\_\_\_

Title of invention

**OR**

In re application of: DONNER ET AL

Serial No.: 0-9 / 874,934

Filed: JUNE 6, 2001

For: ENCRYPTION PROGRAM, ETC.

Group Art Unit:  
Examiner:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
WITHIN THREE MONTHS OF FILING OR  
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. 1.97(b))**

NOTE: "An information disclosure statement shall be considered by the Office if filed by the applicant: (1) within three months of the filing date of a national application; (2) within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; or (3) before the mailing date of a first Office action on the merits, whichever event occurs last." 37 C.F.R. 1.97(b).

**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))**

I hereby certify that this correspondence is, on the date shown below, being:

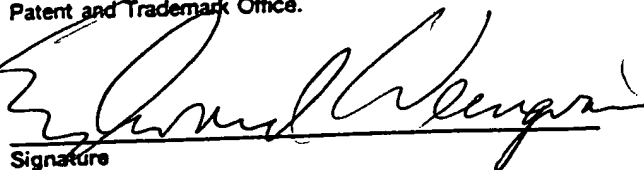
**MAILING**

☐ deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: 7/2/01

**FACSIMILE**

☐ transmitted by facsimile to the Patent and Trademark Office.



Signature

EDWARD R. WEINGRAM

(Type or print name of person certifying)



NOTE: The "filing date of a national application" under 37 C.F.R. 1.97(b) has two possible meanings. Where the filing is a direct one to the United States Patent & Trademark Office, the filing is defined in 37 C.F.R. 1.53(b) as "the date on which: (1) A specification containing a description pursuant to § 1.71 and at least one claim pursuant to § 1.75; and (2) any drawing required by § 1.81(a), are filed in the Patent and Trademark Office in the name of the actual inventor or inventors as required by § 1.41." 37 C.F.R. 1.97(b)(1). On the other hand, an international application that enters the national stage occurs when the applicant has filed the documents and fees required by 35 U.S.C. § 371(c) within the periods set forth in § 1.494 or § 1.495. 35 U.S.C. § 371(c) requires the filing of the following: (1) the national fee; (2) a copy of the international application, unless already sent by the International Bureau, and an English translation if filed in another language; (3) amendments under PCT Article 19, with a translation into English if made in another language; (4) an oath or declaration; and (5) a translation into English of any annexes to the international preliminary examination report, if such annexes were made in another language. 37 C.F.R. 1.97(b)(2).

### IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. 1.97(b).


NOTE: "No certification or fee is due when the filing is made within the above time period. It is advisable to ensure that no Office action has been mailed if the disclosure statement is delayed until after three months from filing."

NOTE: "An information disclosure statement will be considered to have been filed on the day it was received in the Office, or on an earlier date of a mailing if accompanied by a properly executed certificate of mailing under 37 C.F.R. 1.8, or Express Mail certificate under 37 C.F.R. 1.10. An Office action is mailed on the date indicated in the Office action." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

NOTE: "The term 'national application' includes continuing applications (continuations, divisions, continuations-in-part) so three-months will be measured from the actual filing date of an application as opposed [sic] to the effective date of a continuing application." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

NOTE: "An action on the merits means an action which treats the patentability of the claims in an application, as opposed to only formal or procedural requirements. An action on the merits would, for example, contain a rejection or indication of allowability of a claim or claims rather than just a restriction requirements (37 C.F.R. 1.142) or just a requirement for additional fees to have a claim considered (37 C.F.R. 1.16(d)). Thus, if an application was filed on Jan. 1 and the first Office action on the merits was not mailed until six months later on July 1, the examiner would be required to consider any proper information disclosure statement filed prior to July 1." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

WARNING: "A petition for suspension of action to allow applicant time to submit an information disclosure statement will be denied as failing to present good and sufficient reasons, since 37 C.F.R. 1.97 provides adequate recourse for the timely submission of prior art for consideration by the examiner." Notice of July 6, 1992 (1141 O.G. 63).

  
SIGNATURE OF PRACTITIONER

EDWARD R. WEINGRAM

(type or print name of practitioner)

WEINGRAM & ASSOCIATES, P.C.

P.O. BOX 927

P.O. Address

197 WEST SPRING VALLEY AVE  
MAYWOOD, N.J. 07607

Reg. No.: 24,493

Tel. No.: (201) 843-6300

Customer No.: 28885

Practitioner's Docket No. INTRASOURCE 3.0-002**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent application

of \_\_\_\_\_  
Inventor(s)for \_\_\_\_\_  
Title of invention

the specification of which is being transmitted herewith

OR

In re application of: DONNER ET ALSerial No.: 09 / 874,934

Group No.:

Filed: JUNE 6, 2001

Examiner:

For: ENCRYPTION PROGRAM, ETC.Assistant Commissioner for Patents  
Washington, D.C. 20231**INFORMATION DISCLOSURE STATEMENT****CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10\***  
(When using Express Mail, the Express Mail label number is mandatory;  
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

**MAILING**☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

37 C.F.R. 1.8(a)

☒ with sufficient postage as first class mail.

37 C.F.R. 1.10\*

☐ as "Express Mail Post Office to Addressee".

Mailing Label No. \_\_\_\_\_ (mandatory.)

**TRANSMISSION**☐ transmitted by facsimile to the Patent and Trademark Office.Date: 7/2/01

Signature

Edward R. Weingram  
EDWARD R. WEINGRAM

(type or print name of person certifying)

**\*WARNING:** Each paper or fee filed by Express Mail must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).

\*Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition.\* Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Information Disclosure Statement [6-1]—page 1 of \_\_\_\_\_)

NOTE: "An information disclosure statement shall be considered by the Office if filed by the applicant:

- (1) Within three months of the filing date of a national application;
- (2) Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; or
- (3) Before the mailing date of a first Office action on the merits, whichever event occurs last."

37 C.F.R. 1.97(b).

NOTE: "Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section." 37 C.F.R. 1.56(a).

"Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) each inventor named in the application;
- (2) each attorney or agent who prepares or prosecutes the application; and
- (3) every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application." 37 C.F.R. 1.56(c).

NOTE: The "duty as described in § 1.56 will be met so long as the information in question was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b) - (d) and 1.98 before issuance of the patent." Notice of January 9, 1992, 1135 O.G. 13 -25 at 17.

WARNING: "No information disclosure statement may be filed in a provisional application." 37 C.F.R. § 1.51(b).

### List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

(check sections forming a part of this statement; discard unused sections and number pages consecutively)

1. ☒ Preliminary Statements
2. ☒ FORMS PTO/SB/08A and 08B (formerly FORM PTO-1449)
3. ☐ Statement as to Information Not Found in Patents or Publications
4. ☒ Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted
5. ☐ Cumulative Patents or Publications
6. ☒ Copies of Listed Information Items Accompanying This Statement
7. ☐ Concise Explanation of Non-English Language Listed Information Items
  - 7A. ☐ EPO Search Report
  - 7B. ☐ English Language Version of EPO Search Report
8. ☐ Translation(s) of Non-English Language Documents
9. ☐ Concise Explanation of English Language Listed Information Items (Optional)
10. ☒ Identification of Person(s) Making This Information Disclosure Statement

(complete the following, if appropriate)

Sections \_\_\_\_\_, respectively, have been continued on ADDED PAGE(S).

NOTE: "Once the minimum requirements are met, the examiner has an obligation to consider the information." Notice of April 20, 1992 (1138 O.G. 37-41, 37).



**Section 1. Preliminary statements**

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

## Section 2. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)

NOTE: With respect to the list required by paragraph (b) of 37 C.F.R. 1.98, the Notice of April 20, 1992 (1138 O.G. 37-41) points out that:

"The list may not be incorporated into the specification but must be submitted in a separate paper. A separate list is required so that it is easy to confirm that applicant intends to submit an information disclosure statement, and because it provides a readily available checklist for the examiner to indicate which identified documents have been considered. A copy of a separate list will also provide a simple means of communication to applicant to indicate the listed documents that have been considered and those listed documents that have not been considered. Use of form PTO-1449, "Information Disclosure Citation, is encouraged."

NOTE: "An information disclosure statement may include two lists (and two certifications)) . . . in situations where some of the information listed was cited in a communication from a foreign patent office not more than three months prior to filing the statement and some was not, but was not known more than three months prior to filing the statement." Notice of April 20, 1992 (1138 O.G. 37-41, 40).

NOTE: With respect to the examiner's consideration of the Information Disclosure Statement, the Notice of April 20, 1992 (1138 O.G. 37-41) states:

"If information is listed in the specification rather than in a separate paper, or if the other content requirements are not complied with, the examiner will notify applicant in the next Office action that the information has not been considered. It should be noted, however, that no copy of a U.S. patent application is required to be submitted. Where a U.S. patent application is properly cited, the examiner should obtain access to that file within the Office.

"Examiners must consider all citations submitted in conformance with the rules and this section and place their initials adjacent [sic] the citations on a list or in the boxes provided on a form PTO-1449. If the citations are submitted on a list other than a form PTO-1449, the examiner may write "all considered" and his or her initials to indicate that all citations have been considered. If any of the citations are considered, a copy of the submitted list or form, as reviewed by the examiner, will be returned to the applicant with the next communication. The original copy of the form will be entered into the application file. The copy returned to applicant will serve both as acknowledgement of receipt of the information disclosure statement and as an indication that the references were considered by the examiner. Forms PTO-326 and PTOL-37 include a box to indicate the attachment of form PTO-1449.

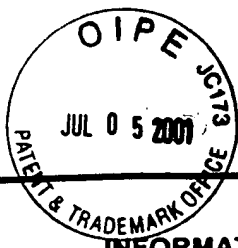
"Information which complies with requirements as discussed in this section but which is in a non-English language will be considered in view of the concise explanation submitted and insofar as it is understood on its face, e.g., drawings, chemical formulas, in the same manner that non-English language information in Office search files is considered by examiners in conducting searches. The examiner need not have the information translated unless it appears to be necessary to do so. The examiner should not require that a translation be filed by applicant. The examiner should not make any comment such as that the non-English language information has only been considered to the extent understood, since this fact is inherent.

"Since information is required to be listed in a separate paper rather than in the specification, there is no need to mark "All checked" or "Checked" in the margin of a specification containing citations.

"If a statement fails to comply with the requirements as discussed in this section for an item of information, a line should be drawn through the citation to show that it has not been considered. The other items of information listed that do comply with the rules and this section will be considered by the examiner and will be appropriately initialed.

\* \* \* \* \*

"A citation listed on form PTO-1449 and considered by the examiner in accordance with this section will be printed on the patent. A citation listed in a separate paper, equivalent to but not on form PTO-1449, and considered by the examiner in accordance with this section will be printed on the patent if the list is on a separate sheet which is clearly identified as an information disclosure statement and the list lends itself to easy capture of the necessary information by the Office printing contractor, i.e., each item of information is listed on a single line, the lines are at least double-spaced from each other, the information is uniform in format for each listed item, the list includes a column for the examiner's initials to indicate that the information was considered. If a citation is not printed on the patent but has been considered by the examiner in accordance with this section, the patented file will reflect that fact . . . ."



**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

ATTY DOCKET NO.  
INTRASOURCE 3.0-002

SERIAL NO.  
09/874,934

DONNER ET AL

FILING  
JUNE 6, 2001

GROUP

**U.S. PATENT DOCUMENTS**

| *EXAMINER<br>INITIAL | DOCUMENT NUMBER | DATE    | NAME                 | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----------------|---------|----------------------|-------|----------|-------------------------------|
|                      | 5,111,395       | 5/5/92  | SMITH ET AL          |       |          |                               |
|                      | 5,303,149       | 4/12/94 | JANI <del>GIAN</del> |       |          |                               |
|                      |                 |         |                      |       |          |                               |
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|                      |                 |         |                      |       |          |                               |
|                      |                 |         |                      |       |          |                               |
|                      |                 |         |                      |       |          |                               |

**FOREIGN PATENT DOCUMENTS**

|  | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|-----------------|------|---------|-------|----------|-------------|----|
|  |                 |      |         |       |          | YES         | NO |
|  |                 |      |         |       |          |             |    |
|  |                 |      |         |       |          |             |    |
|  |                 |      |         |       |          |             |    |
|  |                 |      |         |       |          |             |    |
|  |                 |      |         |       |          |             |    |
|  |                 |      |         |       |          |             |    |
|  |                 |      |         |       |          |             |    |
|  |                 |      |         |       |          |             |    |

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

|  |  |  |  |
|--|--|--|--|
|  |  |  |  |
|  |  |  |  |

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**Section 4. Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted**

**NOTE:** "A copy of any patent, publication or other information listed in an information disclosure statement is not required to be provided if it was previously cited by or submitted to the Office in a prior application, provided that the prior application is properly identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120." 37 C.F.R. 1.98(d).

**WARNING:** "This exception to the requirement for copies of information does not apply to information which was cited in an international application under the Patent Cooperation Treaty." Notice of April 20, 1992 (1138 O.G. 37-41, 38).

**WARNING:** "Information which is cited or submitted to the Office in the parent application of a file wrapper continuing application under 37 C.F.R. 1.62 will be part of the file before the examiner and need not be resubmitted in the continuing application. Likewise, the examiner will consider information cited or submitted to the Office in a parent application when examining a continuing application and thus this information need not be resubmitted unless applicant desires the information to be printed on the patent." Notice of April 20, 1992 (1138 O.G. 37-41, 37).

**WARNING:** While a copy of a non-English language item of information need not be submitted, if it was previously submitted to, or cited by, the Office in a prior application, provided it is properly identified in this statement and this application relies on that earlier filing date under 35 U.S.C. 120, nevertheless, the requirement in § 1.98(a)(3) for a concise explanation of non-English language information would not be satisfied by a statement that a reference was cited in the prosecution of a parent application. The concise explanation must explain the relevance, as presently understood by the person designated in § 1.56(c) most knowledgeable about the content of the information. Notice of January 9, 1992, 1135 O.G. 13-25, at 20.

This application relies, under 35 U.S.C. 120, on the earlier filing date of prior application SN.: 060 / 213,760 , filed on JUNE 23, 2000 (date).

(complete the following, if applicable)

☐ This application also relies, under 35 U.S.C. 120, on the earlier filing date of prior application SN.: 0 / , filed on \_\_\_\_\_ (date).

The following references were submitted to, and/or cited by, the Office in the prior application(s) and, therefore, are not required to be provided in this application:

## **Section 6. Copies of Listed Information Items Accompanying This Statement**

**NOTE:** 37 C.F.R. 1.98(a)(2) requires that any information disclosure statement filed under § 1.97 shall include: "A legible copy of: (i) Each U.S. and foreign patent; (ii) Each publication or that portion which caused it to be listed; and (iii) All other information or that portion which caused it to be listed, except that no copy of a U.S. patent application need be included . . ."

**NOTE:** The wording in § 1.98(a)(2)(iii) makes it clear that the requirement to submit a copy of each item of information listed in an information disclosure statement does not apply to the citation of a U.S. patent application. Notice of January 9, 1992, 1135 O.G. 13-25, at 14.

Legible copies of all items listed in Forms PTO/SB/08A and 08B (formerly Form PTO-1449) accompany this information statement.

*(complete the following, if applicable)*

☐ Exception(s) to above:

- ☐ Items in prior application, from which an earlier filing date is claimed for this application, as identified in Section 4.
- ☐ Cumulative patents or publications identified in Section 5.



INTRASOURCE3.0-002

**Section 10. Identification of Person(s) Making This Information Disclosure Statement**

The person making this statement is

(check each applicable item)

- (a) ☒ the inventor(s) who signs below

Matthew G. Donner  
SIGNATURE OF INVENTOR

MATTHEW G. DONNER

(Type name of inventor who is signing)

- (b) ☐ an individual associated with the filing and prosecution of this application (37 C.F.R. 1.56(c))

\_\_\_\_\_  
SIGNATURE OF INVENTOR

(Type name of inventor who is signing)

- (c) ☐ the practitioner who signs below on the basis of the information:

(check each applicable item)

- ☐ supplied by the inventor(s).  
☐ supplied by an individual associated with the filing and prosecution of this application. (37 C.F.R. 1.56(c))  
☐ in the practitioner's file.

Edward R. Weingram  
SIGNATURE OF PRACTITIONER

EDWARD R. WEINGRAM

(Type or print name of practitioner)

WEINGRAM & ASSOCIATES, P.C.

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